

10/568114

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Docket Number  
36856.1415

IAP6 Rec'd PCT/PTO 13 FEB 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yasuhisa FUJII and Yuichi TAKAMINE	International Application No.: PCT/JP2005/012871
Serial No.: Unknown	International Filing Date: July 12, 2005
Filed: Concurrently herewith	
For: SURFACE ACOUSTIC WAVE DEVICE	

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit the attached Form PTO-1449. Reference Nos. 1-11 were cited in the attached International Search Report for PCT Application No. PCT/JP2005/012871.

Applicants do not submit herewith copies of the U.S. references as this is no longer required.

The relevance of Reference No. 11 is discussed on pages 5 and 7 of the substitute specification filed concurrently herewith.

The relevance of Reference No. 12 is discussed on page 2 of the substitute specification filed concurrently herewith.

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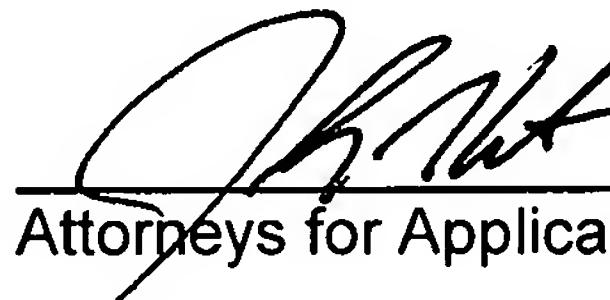
The relevance of Reference No. 13 is discussed on pages 5, 6, and 16 of the substitute specification filed concurrently herewith.

Reference Nos. 14-26 are additional references that Applicants are submitting herewith.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the cited references be made of record in the subject application.

Respectfully submitted,

  
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Substitute for form 1449/PTO				<b>Complete if Known</b>		
				Application Number	<b>10/568114</b>	
				Filing Date		
				First Named Inventor		Yashuhisa FUJII
				Art Unit		
				Examiner Name		
Sheet	1	of	2	Attorney Docket Number	36856.1415	

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
1	2003/0107454	06-2003	Nakamura et al.		
2	2003/0183884	10-2003	Miyazawa		
3	2005/0064657	03-2005	Miyazawa		
4	2004/0066115	04-2004	Takamine		
5	2004/0080385	04-2004	Takamine et al.		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)				
6	JP 2003-297926 (with English machine Translation and Abstract)	10-2003	JAPAN			X
7	JP 10-256862 (with English machine Translation and Abstract)	09-1998	JAPAN			X
8	JP 2004-88551 (with English machine Translation and Abstract)	03-2004	JAPAN			X
9	JP 2004-96244 (with English machine Translation and Abstract)	03-2004	JAPAN			X
10	JP 10-70435 (with English machine Translation and Abstract)	03-1998	JAPAN			X
11	JP 2003-204243 (with English machine Translation and Abstract)	07-2003	JAPAN			X
12	JP 2002-300004 (with English machine Translation and Abstract)	10-2002	JAPAN			X
13	JP 2002-271168 (with English machine Translation and Abstract)	09-2002	JAPAN			X
14	JP 2000-049567 (with English machine Translation and Abstract)	02-2000	JAPAN			X
15	JP 07-030362 (with English machine Translation and Abstract)	01-1995	JAPAN			X
16	JP 2000-138553 (with English machine Translation and Abstract)	05-2000	JAPAN			X
17	JP 2004-282707 (with English machine Translation and Abstract)	10-2004	JAPAN			X
18	JP 2004-129224 (with English machine Translation and Abstract)	04-2004	JAPAN			X
19	JP 2004-048670 (with English machine Translation and Abstract)	02-2004	JAPAN			X
20	JP 05-235684 (with English machine Translation and Abstract)	09-1993	JAPAN			X
Examiner Signature			Date Considered			

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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*Complete if Known*

Application Number	10/568114
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First Named Inventor	Yashuhisa FUJII
Art Unit	
Examiner Name	
Attorney Docket Number	36856.1415

PTO/SB/08A (04-03)

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Country of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)				
21	JP 06-152310	(with English machine Translation and Abstract)	05-1994	JAPAN		X
22	JP 11-191722	(with English machine Translation and Abstract)	07-1999	JAPAN		X
23	JP 06-044231 U	(with English machine Translation)	06-1994	JAPAN		
24	JP 2003-234636	(with English machine Translation and Abstract)	08-2003	JAPAN		X
25	EP 0 998 039 A1		05-2000	EUROPE		
26	JP 05-167387	(with English machine Translation and Abstract)	07-1993	JAPAN		X

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title Of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
27		International Search Report for PCT Application No PCT/JP2005/012871, mailed October 25, 2005.	X

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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